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|---|---------------------------|--|---|----------|---|--|
| TD A NICKO | reder a x | | Application Number | | 10/659,427 | |
| TRANSMI FORI | | | Filing Date | | September 11, 2003 | |
| (to be used for all correspond | * ' | al filing) | First Named Inventor | , | Junichiro KUWABARA | |
| | • | | Group Art Unit | | 2879 | |
| | | | Examiner Name | , | Matthew P. Hodges | |
| Total Number of Pages in This S | ubmission | | Attorney Docket Number | | 740756-2649 | |
| | | ENCLOSU | RES (check all that apply) | | | |
| Fee Transmittal Form | | Assignn | nent Papers | | After Allowance Communication to Group | |
| Fee Attached | ÷ | | Application) | | Appeal Communication to Board of Appeals and Interferences | |
| Amendment / Reply | | Declarat | g(s) tion and Power of Attorney | | Appeal Communication to Group | |
| ☐ After Final | | <u> </u> | ng-related Papers | | (Appeal Notice, Brief, Reply Brief) Proprietary Information | |
| Affidavits/declaration(s) | | Petition | | | Status Letter | |
| Extension of Time Request | | | to Convert to a Provisional | | Application Data Sheet | |
| Express Abandonment Reques | et | Application Application | tion f Attorney, Revocation | | Request for Corrected Filing Receipt with Enclosures | |
| Information Disclosure Staten | | | of Correspondence Address | | A self-addressed prepaid postcard for acknowledging receipt | |
| PTO 1449 Form listing 1 | | | l Disclaimer | × | Other Enclosure(s) (please identify below): | |
| Certified Copy of Priority | 4 documents | Request for Refund CD, Number of CD(s) | | | Five (5) non-US patent-related documents | |
| Document(s) | | CD, Nui | moer of CD(s) | | | |
| Response to Missing Parts/ Incomplete Application | | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.5 | | | | | | |
| · · | - | Remarks | The Commissioner is | h arab | y authorized to charge any additional fees | |
| | | | required or credit any overp above identified docket nur | ayme | nts to Deposit Account No. 19-2380 for the | |
| | SIGNATIII | RE OF APPI | ICANT, ATTORNEY, O | | CENT | |
| Firm | | ay – Reg. No | | ж | OEN | |
| or Individual name | Nixon Peal | body LLP | 0. 47,240 | | | |
| | 401 9th Stre Suite 900 | eet, N.W. | | | | |
| | | n, D.C. 200 | 04-2128 | | | |
| Signature | Jahn | - II | ~ | | | |
| Date | December | 13, 2005 | 1 | | | |
| | <u> </u> | | <u> </u> | <u> </u> | | |
| CERT | TIFICATE O | OF MAILING | G OR TRANSMISSION | ۱ [37 | CFR 1.8(a)] | |
| I hereby certify that this corr | espondence | is being: | | | | |
| | velope addre | | | | w with sufficient postage as first ssioner for Patents, P. O. Box 1450, | |
| | | date shown | below to the United Sta | ates l | Patent and Trademark Office at | |
| Date | | | . —————— | Sig | gnature | |
| | | • | T | | printed name | |

DE\$ 1 8 2005 FOR FY 2005 ptent fees are subject to annual revision.

Appearant claims sm ant claims small entity status. See 37 CFR 1.27

| 1411 | Circity | Status. | 0000 | |
|------|---------|---------|------|-----------|
| PA | YME | NT | | (\$)180.0 |

| Complete if Known | | | | | |
|----------------------|--------------------|--|-----|--|--|
| Application Number | 10/659,427 | | · . | | |
| Filing Date | September 11, 2003 | | - | | |
| First Named Inventor | Hideaki KUWABARA | | | | |
| Examiner Name | Matthew P. Hodges | | | | |
| Art Unit | 2879 | | | | |
| Attorney Docket No. | 740756-2649 | | | | |

| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | | | |
|--|-----------------------------|------------------------|---------------------------------|---|-----------------|--|
| ☐ Check ☐ Credit Card ☐ Money ☐ Other ☐ None | 3. ADDITIONAL FEES | | | | | |
| Order Deposit Account: | | y _Smal | Entity | | | |
| Deposit | Fee Fee | Fee | Fee | Fee Description | • | |
| Account 19-2380 | Code (\$) | Code | (\$) 65 | Surcharge – late filing fee or oath | | |
| Number | 1051 13 1052 5 | 30 2051 30 2052 | 25 | Surcharge - late provisional filing fee or cover | | |
| | 1032 3 | 2032 | | sheet | | |
| Deposit Deposit Deposit Deposit | 1053 - 13 | 1053 | 130 | Non-English specification | | |
| Account Nixon Peabody LLP | 1812 2,52 | 20 1812 | 2,520 | For filing a request for ex parte reexamination | | |
| | 1804 920 |)* 1804 | 920* | Requesting publication of SIR prior to Examiner | | |
| The Commissioner is authorized to: (check all that apply) | 1805 1,840 |)* ₁₈₀₅ | 1,840* | action Requesting publication of SIR after Examiner | | |
| Charge fee(s) indicated below Credit any overpayments | | | | action | | |
| Charge any additional fee(s) | 1251 12 | 20 2251 | 60 | Extension for reply within first month | | |
| Charge fee(s) indicated below, except for the filing fee | 1252 45 | 50 2252 | 225 | Extension for reply within second month | | |
| to the above-identified deposit account. | 1253 1,02 | 20 2253 | 510 | Extension for reply within third month | | |
| FEE CALCULATION | 1254 1,59 | 90 2254 | 795 | Extension for reply within fourth month | | |
| 1. BASIC FILING FEE | 1255 2,16 | 50 2255 | 1,080 | Extension for reply within fifth month | | |
| Large Entity Small Entity | 1401 50 | 00 2401 | 250 | Notice of Appeal | | |
| Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid | 1402 50 | 00 2402 | 250 | Filing a brief in support of an appeal | | |
| (4) | 1403 1,00 | 00 2403 | . 500 | Request for oral hearing | | |
| 1001 300 2001 150 Utility filing fee | 1451 1,5 | 10 1451 | 1,510 | Petition to institute a public use proceeding | | |
| 1002 200 2002 100 Design filing fee | 1452 50 | 00 2452 | 250 | Petition to revive - unavoidable | | |
| 1003 200 2003 100 Plant filing fee | 1453 1,50 | 00 2453 | 750 | Petition to revive – unintentional | | |
| | 1501 1,40 | 00 2501 | .700 | Utility issue fee (or reissue) | | |
| 1004 300 2004 150 Reissue filing fee 1005 200 2005 100 Provisional filing fee | 1502 80 | 00 . 2502 | 400 | Design issue fee | | |
| 1003 200 2003 100 110 110 110 110 110 110 110 110 | 1503 1,10 | 00 2503 | -550 | Plant issue fee | | |
| SUBTOTAL (1) $(\$)$ 0 | 1460 1 | 30 1460 | 130 | Petitions to the Commissioner | | |
| | 1807 | 50 1807 | 50 | Processing fee under 37 CFR 1.17(q) | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1 | 80 1806 | - | Submission of Information Disclosure Stmt | \$180.00 | |
| Fee from | 8021 | 40 8021 | 40 | Recording each patent assignment per property | | |
| Extra Claims below Fee Paid Total Claims -20** = X = 0 | 1809 7 | 90 2809 | 395 | (times number of properties) Filing a submission after final rejection | | |
| Total Claims20** = X = 0 | 1009 | 200 | 3,73 | (37 CFR 1.129(a)) | | |
| Independent -3** = X = 0 | 1810 7 | 90 2810 | | For each additional invention to be examined (37 CFR 1.129(b)) | | |
| Multiple Dependent X = 0 | 1801 7 | 90 2801 | 395 | Request for Continued Examination (RCE) | · | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description | 1802 9 | 00 1802 | 900 | Request for expedited examination of a design application | | |
| Code (\$) Code (\$) | Other fee (s | pecify) | • | | | |
| 1202 50 2202 25 Claims in excess of 20 | | | | | | |
| 1201 200 2201 100 Independent claims in excess of 3 | *Reduced b | y Basic Fi | ing Fee Paid | d SUBTOTAL (3) (\$)180.00 | | |
| 1203 360 2203 180 Multiple dependent claim, if not paid | | | | | | |
| 1204 200 2204 100 ** Reissue independent claims over | | CEI | RTIFICATE | OF MAILING OR TRANSMISSION [37 CFR 1.8(a) | 1 , , | |
| original patent 1205 50 2205 25 ** Reissue claims in excess of 20 and | I hereby o | ertify that | this correspo | ondence is being: | | |
| over original patent | | l depos | ted with the | United States Postal Service on the date shown below | with sufficient | |
| SUBTOTAL (2) (\$) 0 | | postag Comn | e as first cla sissioner for | ass mail in an envelope addressed to: Mail Stop Patents, P. O. Box 1450, Alexandria, VA 22313-1450 | | |
| **or number previously paid, if greater; For Reissues, see above | 1 - | | | simile on the date shown below to the United States Pa | | |
| | | Trade | mark Office | at 571-273-8300. | 5.4 | |
| | 1 —— | Doto | | Signature | | |
| | | Date | | Signature | | |
| | | · · · · · | | Typed or printed name | | |
| SUBMITTED BY | | | | Complete (if applicable) | | |
| Name (Print/Type) John F. Guay | Registratio | | 47,248 | Telephone (202) 585-8000 | | |
| Signature Sulm Hand | (Attorney/ | Ageni) | <u> </u> | Date December 13, | 2005 | |
| Signature | | | · | December 13, | | |

Docket No. 740756-2649

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
in re Patent Application of:

Hideaki KUWABARA

Confirmation No.: 4101

Serial No.: 10/659,427

Examiner: Matthew P. Hodges

) Group Art Unit: 2879

For: LIGHT-EMITTING APPARATUS AND)
FABRICATION METHOD OF THE SAME)

Date: December 13, 2005

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Filed: September 11, 2003

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The Commissioner is hereby authorized to charge the Deposit Account No. 19-2380 in the amount of \$180.00 to comply with the provisions of 37 C.F.R. § 1.97(c).

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents (excluding U.S. patent publications) are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initial a copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

12/14/2005 SZEWDIE1 00000181 192380 10659427

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180.00 DA

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Jøhn F. Guay

Registration No. 47,248

NIXON PEABODY LLP Suite 900, 401 9th Street, N.W. Washington, DC 20004-2128 Telephone: (202) 585-8000 DEC 13 2005 B

Substitute for form 1449A/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

| Complete if Known | | | |
|------------------------|--------------------|--|--|
| Application Number | 10/659,427 | | |
| Filing Date | September 11, 2003 | | |
| First Named Inventor | Hideaki KUWABARA | | |
| Art Unit | 2879 | | |
| Examiner Name | Matthew P. Hodges | | |
| Attorney Docket Number | 740756-2649 | | |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|---------------------------|--|--------------------------------|--|---|--|
| Examiner Initials | Cite. No. ¹ | U.S. Patent Document Number - Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | |
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| | т | T. | DREIGN PATENT D | OCUMENTS | T: | |
|-----------------------|--------------|--|--------------------------------|--|---|----------------|
| Examiner Initials* | Cite No. | Foreign Patent Document Kind Code ³ Country Code ³ Number ⁴ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Application of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T¢ |
| | | JP-10-189252 | 07-21-1998 | Chishio | | AB |
| | | JP-2001-254169 | 09-18-2001 | Kiyoshizu | | AB |
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| | | JP-2002-318553 | 10-31-2002 | Michiya et al. | | AB |
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| | | | | | | |
| ٠. | | OTHER PRIOR | ART - NON PATENT I | LITERATURE DOCUMENTS | | |
| Examiner Initials* | Cite No.1 | | urnal, serial, symposium, | RS), title of the article (when approcatalog, etc.)., date, page(s), volunt country where published. | | T ² |
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|-----------|----------------|------|
| Examiner | Date | |
| Signature | Considered | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.